

Human Subjects Research: Reporting Requirements

Timing	Reporting Requirement
Reports Required Immediately or Within 24 Hours	<ul style="list-style-type: none"> All FDA submissions, reports, or correspondence
	<ul style="list-style-type: none"> Required Time Sensitive Notifications are FDA safety reports of serious adverse events under IND or IDE
	<ul style="list-style-type: none"> Notification to BU of an FDA or EMA audits is required <u>within 5 days of a scheduled audit or site visit OR within 12 hours of an ad hoc audit or site visit</u>
Reports Required Within 3 Business Days of Occurrence	<ul style="list-style-type: none"> Major changes to the status of IRB approvals and ongoing protocols
	<ul style="list-style-type: none"> Any reviews by an institutional biosafety committee or the NIH Recombinant DNA Advisory Committee
Monthly Reporting	<ul style="list-style-type: none"> Monthly technical/progress reporting as outlined in Attachment 4 & 6, including a comprehensive status update of clinical studies actively enrolling patients for each study site
Annual Reporting	<ul style="list-style-type: none"> Continuing IRB review by each institution involved in the research or the central IRB, if review is ceded to a single IRB
	<ul style="list-style-type: none"> Adverse events documented during the trial and which are reportable in the annual IND or IDE report
Other Ad Hoc Reporting	<ul style="list-style-type: none"> Ongoing safety reporting for research not performed under an IND/IDE
	<ul style="list-style-type: none"> Ad hoc reporting as requested under Attachment 4a regarding clinical study information
	<ul style="list-style-type: none"> A written report when the PD completes a Milestone
	<ul style="list-style-type: none"> A final technical/progress report is required to be submitted <u>within 30 days after the end</u> of the period of performance